

W. Church Financial

Disaster Recovery Plan

1. Introduction

This document constitutes the existing disaster recovery plan (DRP) for the company; W.Church Financial based in Erie, Colorado at 671 Mitchell Way in Suite 119 with a mail code of 80516 and phone numbers: 303-828-3333, 303-588-0048, and 970-361-8262 (Olathe)

Additional location for W.Church Financial: 60352 Gunnison Road Olathe, CO 81425.

The above listed are Cambridge branch offices

The purpose of this effort is to document a plan of recovery from a critical business lapse.

2. Emergency Contact Persons

Warren Church cell = 303-588-0048

Cambridge Home Office Fairfield IA 800-777-8060

Laurie Montagne cell = 970-361-8262

Rule: FINRA Rule 4370 (f) ; NASD Rule 1160

3. Branch policy

Each of the above listed branch offices is empowered to respond to any significant business disruption by safeguarding associates' lives and branch property, providing a financial and operational assessment, allowing a quick recovery and resumption of operations. All the while protecting all branch books and records, and thereby allowing clients to resume business transactions.

A significant business disruption will be outlined as exemplified but not limited to: An accident or incident which impacts building or persons not allowing access or services to freely emanate from therein.

Disruptions may be internal to the building, or external to the building or to personnel.

Each branch will maintain copy of the DRP, review it annually for continued accuracy and relevance. They will be available for inspection as requested. An electronic copy will be made available on our website for client viewing. W.churchfinancial.com

4. Business Description

W.Church Financial conducts Financial Advising, Investment Advising and Financial Planning. At the time of this print the company resides in two (2) locations called branch offices. These two (2) branches are branches of Cambridge. Cambridge conducts general securities business in equity, fixed income, and derivative securities.

Cambridge is located at: 1776 Pleasant Plain Road Fairfield, IA 52556-8757 phone: 800-777-6080

Cambridge is an introducing firm and does not perform any type of clearing function for itself or others. Furthermore, it does not hold client funds or securities. It does, however, accept and enter orders. All transactions are sent to its clearing firms, which exclude orders, compare them, allocate them, clear and settle them. Its clearing firm also maintains customer accounts, grant client access to them, and deliver funds and securities. Cambridge's clearing firm is Pershing LLC

Pershing maintains records of customers funds or securities on our behalf. In the event of an internal or external disruption or event, if telephone service is available, registered persons will take customer orders or instructions and contact Cambridge on their behalf. If internet access is available the branch will post on the website that customers may access their funds and securities by contacting Cambridge at 800-777-8060

Securities regulations are designed to protect clients' funds and securities. The SEC is an agency of the U.S. government which oversees FINRA which in turn oversees Broker Dealers , clearing firms, and financial professionals. Both the SEC and FINRA require member to comply with various rules intended to minimize the chance of financial failure and maximize the protection of assets. The SEC also requires a registered broker-dealer to segregate fully paid for, investor owned assets – meaning that if the broker-dealer fails, investors' assets will remain safe, separate from the broker-dealer assets.

5. Update and Annual Review

The branch will update this plan whenever a material change occurs to the operations, structure, business, location, or personnel or to those of Cambridge. In addition, the firm will review this DRP annually to modify as needed.

Rule: FINRA Rule 4370 (b)

6. Senior Manager approval

I have approved this Disaster Recovery Plan as reasonably designed to allow our branch(s) to meet the obligations to our clients and associates in the event of Significant Business Disruption.

Rule: FINRA Rule 4370 (d)

Printed Name: Warren Church

A handwritten signature in black ink, appearing to be 'WCF' with a stylized flourish at the end.

Signed:

Title: Financial Advisor